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### Immune reconstitution efficacy after combination antiretroviral therapy in male HIV-1 infected patients with homosexual and heterosexual transmission

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#### ABSTRACT

We aimed to explore the impact of sexual transmission modes on immune reconstitution after combined antiretroviral therapy (cART). We have retrospectively analyzed longitudinal samples from 1557 treated male patients with virological suppression (HIV-1 RNA < 50 copies/ml) for at least 2 years. Both heterosexuals (HET) and men who have sex with men (MSM) patients showed an increasing annual trend in CD4<sup>+</sup> T cell counts after receiving cART (HET,  $\beta$ : 23.51 (cell/µl)/year, 95% Cl: 16.70–30.31; MSM, β: 40.21 (cell/μl)/year, 95% Cl: 35.82–44.61). However, the CD4<sup>+</sup> T cell recovery rate was much lower in HET patients than MSM patients, determined by both the generalized additive mixed model (P < 0.001) and generalized estimating equations (P = 0.026). Besides HIV-1 subtypes, baseline CD4<sup>+</sup> T cell counts and age at cART initiation, HET was an independent risk factor for immunological non-responders (adjusted OR: 1.73; 95% CI: 1.28-2.33). HET was also associated with lower probability of achieving conventional immune recovery (adjusted HR: 1.37; 95%CI: 1.22–1.67) and optimal immune recovery (adjusted HR: 1.48, 95%CI: 1.04-2.11). Male HET patients might have poorer immune reconstitution ability even after effective cART. Early initiation of cART after diagnosis and clinical monitoring for male HET patients should be highly emphasized.

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**KEYWORDS** HIV-1; male heterosexuals; MSM; combined antiretroviral therapy; immune reconstitution

#### Introduction

The epidemic of human immunodeficiency virus/ acquired immunodeficiency syndrome (HIV/AIDS) remains a major public health issue in China. Sexual transmission is the major route of HIV-1 infection in China. In 2019, about 71,204 people were estimated to be newly infected with HIV/AIDS in China, among whom over 95% acquired HIV-1 through sexual exposure [1]. Transmission among men who have sex with men (MSM) has become the main transmission route in China's eastern and central provinces, accounting for 62.4% to 77.88% of newly reported cases in recent years. Meanwhile, heterosexual transmission (HET) accounted for 50% to 70% of newly reported cases [1].

The modes of sexual transmission might exert differential impacts on HIV-1 disease progression and clinical outcomes of combined antiretroviral therapy (cART). A recent study found that HET patients had faster CD4<sup>+</sup> T cell count reduction early in HIV infection [2]. HET has also been reported to be associated with higher probability of virological failure and increased mortality after cART [3-6]. HIV-1 patients receiving cART aim to suppress the virus and recover their immune system promptly. However, clinical outcomes in these studies were virologic failures, and how sexual transmission modes could affect immune reconstitution in those patients with virological suppression remains unclear. This study aimed to compare the immune reconstitution efficacy between HET men and MSM patients who have maintained virological suppression among HIV-1 patients newly diagnosed from 2017 to 2018 in Jiangsu Province, China.

#### **Methods**

#### Study subjects

We studied a cohort of newly diagnosed HIV-1 patients from 2017 to 2018 in Jiangsu Province. The subjects in this cohort tested positive by HIV enzyme-linked immunosorbent assay and confirmed by HIV-1 Western blot assay. All patients were cART-naïve when they were diagnosed with HIV-1 infection. Through face-to-face interviews, we obtained data on demographic information, including

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age, marital status, educational background, infection route and history of sexually transmitted diseases (STD). The history of STD indicated whether the patients had ever contracted the STD before cART. Based on the national database of free antiretroviral treatment program, we collected the clinical information including time of receiving cART, CD4<sup>+</sup> T cell counts and CD8<sup>+</sup> T cell counts at cART initiation, baseline BMI and hemoglobin at cART initiation, CD4 <sup>+</sup>T cell counts and CD8<sup>+</sup> T cell counts as well as viral load at all follow-up visits. The time point of the last follow-up was 2021. This national database was established in late 2004 under the China's National Free Antiretroviral Treatment Program [7-9]. We searched the plasma samples during 2017-2018 in the plasma biobank of Jiangsu Provincial Center for Diseases Control and Prevention. We successfully tested for the baseline viral load in 782 patients with the COBAS TaqMan HIV-1 Test v2.0. The time point of the last follow-up was 2021. The patients were selected in our analysis if they met the following criteria: (1) male subjects with HIV-1 subtypes data; (2) infected with HIV-1 through MSM or HET; (3) have received cART for at least 2 years; (4) complete follow-up CD4 + T measurements records after cART; (5) maintaining virological suppression (HIV-1 RNA < 50 copies/ml) at all follow-up visits. The flowchart of sample selection was shown in Figure S1. This study was approved by the ethical review board of the National Center for AIDS/STD Control and Prevention (Project No. X140617334). All participants provided informed consent.

#### HIV-1 sub-typing

We extracted HIV-1 RNA from 200 µl plasma samples and then reverse-transcribed to cDNA according to the manufacturer's instructions. RT–PCR and nested PCR were carried out to generate the partial *pol* region (HXB2: 2,253-3,312). The amplified *pol* region with the correct position of the band in gel electrophoresis was sequenced as previously described [10]. Afterward, we downloaded the *pol* reference sequence of each HIV-1 genotype from the Los Alamos National Laboratory HIV-1 database (LANL, https://www.hiv.lanl.gov/) and constructed a maximum likelihood (ML) phylogenetic tree to determine the HIV-1 genotype using FastTree v2.1.10. We also employed Recombination Identification Program (RIP) and Jumping Profile HMM (jpHMM) online tools to identify the recombinant strains.

#### Definitions

We evaluated the immune reconstitution efficacy by using three metrics: the recovery of CD4<sup>+</sup> T cell counts, the risk of immunological non-responders (INRs) and the probabilities of reaching immune recovery (IR). In order to assess the CD4<sup>+</sup> T cell recovery rate, measurement records of all follow -up visits after cART initiation for each patient were obtained. All patients had at least two CD4<sup>+</sup> T cell count records in different years.

INRs in this study referred to patients who maintained plasma HIV RNA <50 copies/ml but had total CD4<sup>+</sup> T cell counts  $\leq$  350 cells/µL two years after cART initiation. Immunological responders were patients with both plasma HIV RNA < 50 copies/ml and total CD4<sup>+</sup> T cell count  $\geq$  350 cells/µL two years after cART initiation [11,12].

The IR in this study comprised of both conventional and optimal IR. The conventional IR was defined as two successive CD4<sup>+</sup>T cell counts  $\geq$  500 cells/µL after cART initiation [13], and the optimal IR was defined as achieving CD4<sup>+</sup>T cell counts  $\geq$  500 cells/µL and CD4/CD8 ratio  $\geq$  0.8 concurrently [14,15].

#### **Statistical analysis**

The baseline demographic and clinical characteristics were analyzed using the chi-square or Fisher exact tests. We adopted the generalized additive mixed model (GAMM) to estimate the CD4<sup>+</sup> T cell recovery rate and fit the smooth curve of CD4<sup>+</sup> T cell change after cART initiation. The factors influencing CD4<sup>+</sup> T cell recovery were further analyzed with generalized estimating equations (GEE). The risk of INRs was analyzed using univariable and multivariable logistic regressions. The Kaplan-Meier analysis and log-rank tests were used to compare the probability of achieving IR between HET and MSM patients. The multivariable Cox regression model was performed to examine the impact of sexual transmission modes on IR. Propensity score matching (PSM) was a commonly used statistical matching method that reduced biases from unbalanced confounding factors. We adopted the method of 1:1 ratio to match several important variables at cART initiation between HET and MSM patients, including HIV-1 subtypes, age, baseline CD4<sup>+</sup> T cell counts and baseline CD8<sup>+</sup> T cell counts. Finally, to further control the impact of baseline viral load on immune reconstitution, we conducted a sensitivity analysis that repeated all analyses used in our study among patients with baseline viral load. All statistical analyses were carried out by SPSS (version 23.0) and R software (version 4.0.4). The level of significance was set at 0.05.

#### Results

#### Baseline characteristics of the study population

A total of 1557 patients (MSM: 1128, HET: 429) met the eligibility criteria and were included in the analyses (Figure S1). The baseline characteristics were summarized in Table 1. The main HIV-1 subtypes identified were CRF\_01AE (607/1557, 38.99%) and CRF\_07BC (529/1557, 33.98%). The other subtypes included CRF67\_01B (104/1557, 6.68%), CRF08\_BC (64/1557, 4.11%), B subtype (61/1557, 3.92%), CRF55\_01B (54/1557, 3.47%), CRF68\_01B (51/1557, 3.28%) and others (87/1557, 5.59%) (Figure S2). At the time of cART initiation, most patients were under 50 years old (1234/1557, 79.26%) and 55.11% had baseline CD4<sup>+</sup> T cell counts over 300 cells/µl. Over half of the patients were unmarried (911/1557, 58.5%), and had less education than Junior college (1018/1557, 65.4%). A small proportion of patients had ever contracted a sexually transmitted disease (STD) (265/1557, 17%). The majority of patients received the NNRTIs-based regimen at cART initiation. Most patients were underweight or normal weight (1336/1557, 85.81%), and had normal hemoglobin (120~160g/L) (1121/1557, 72.00%). The CD4<sup>+</sup> T cell counts and age at cART initiation, HIV-1 subtypes, educational background, marital status, and baseline hemoglobin differed significantly between HET and MSM patients (Table 1). MSM patients have a higher proportion of CRF\_01AE infection than HET patients, while HET patients were older and had lower CD4<sup>+</sup> T cell counts when starting cART than MSM par. In addition, most HET patients were married and had a lower education level than MSM patients. The proportion of normal hemoglobin ( $120 \sim 160g/L$ ) in MSM patients was higher than that in HET patients. The mean time from HIV-1 diagnosis to cART initiation in HET and MSM patients was 74.39 and 75.51 days, respectively (HET vs MSM, *P* value = 0.88).

# Slower recovery of CD4<sup>+</sup> T cell counts after cART initiation in HET patients

We used GAMM to evaluate the recovery rate of CD4<sup>+</sup> T cell counts after cART. In general, both HET and MSM patients showed an increasing annual trend in CD4<sup>+</sup> T cell counts after receiving cART (HET, β: 23.51 (cell/μl)/year, 95% CI: 16.70-30.31; MSM, β: 40.21 (cell/μl)/year, 95% CI: 35.82–44.61) (Table 2). After adjusting for the potential effects of other variables, the CD4<sup>+</sup> T cell counts recovery rate was significantly slower in HET patients than in MSM patients (interaction P < 0.001; Table 2 and Figure 1). GEE analysis showed that slower CD4<sup>+</sup> T cells recovery was associated with HET (P = 0.026), lower baseline CD4<sup>+</sup> T cell counts at cART initiation (P < 0.001), older age at cART initiation (P < 0.001) and lower baseline hemoglobin at cART initiation (P = 0.005) (Table 3). Given the important impact of CD4<sup>+</sup> T cell counts at cART initiation on immune reconstitution, the additional analyses for patients with lower baseline CD4<sup>+</sup> T cell counts were conducted. In patients with baseline

Table 1. Demographic and clinical characteristics between HET and MSM patients.

		Mode of sexu		
Variable	Number (%)/median (IQR)	HET	MSM	P value
HIV-1 subtype				<0.001
CRF_01AE	607 (38.99%)	131 (30.54%)	476 (42.20%)	
CRF_07BC	529 (33.97%)	149 (34.73%)	380 (33.69%)	
Other subtypes	421 (27.04%)	149 (34.73%)	272 (24.11%)	
CD4 <sup>+</sup> T cell counts at cART initiation				< 0.001
≤300 cells/μL	699 (44.89%)	226 (52.68%)	473 (41.93%)	
>300 cells/µL	858 (55.11%)	203 (47.32%)	655 (58.07%)	
Age at cART initiation				< 0.001
≤30	629 (40.40%)	93 (21.68%)	536 (47.52%)	
31~50	605 (38.86%)	172 (40.09%)	433 (38.39%)	
≥51	323 (20.74%)	164 (38.23%)	159 (14.09%)	
Education background				< 0.001
Junior high school or below	603 (38.73%)	253 (58.97%)	350 (31.03%)	
Senior high school or technical secondary school	415 (26.65%)	92 (21.45%)	323 (28.63%)	
Junior college or above	539 (34.62%)	84 (19.58%)	455 (40.34%)	
Marital status				< 0.001
Single, divorced or widowed	911 (58.51%)	162 (37.76%)	749 (66.40%)	
Married	646 (41.49%)	267 (62.24%)	379 (33.60%)	
STD history				0.226
Yes	265 (17.02%)	65 (15.15%)	200 (17.73%)	
No	1292 (82.98%)	364 (84.85%)	928 (82.27%)	
Initial antiretroviral regimen				0.453
NNRTIs-based	1524 (97.88%)	418 (97.44%)	1106 (98.05%)	
Other	33 (2.12%)	11 (2.56%)	22 (1.95%)	
Baseline BMI				0.338
Underweight or normal	1336 (85.81%)	374 (87.18%)	962 (85.28%)	
Overweight or obese	221 (14.19%)	55 (12.82%)	166 (14.72%)	
Baseline hemoglobin				< 0.001
120–160 g/L	1121 (72.00%)	298 (69.46%)	823 (72.96%)	
<120 g/L	87 (5.59%)	42 (9.79%)	45 (3.99%)	
>160 g/L	290 (18.63%)	66 (15.39%)	224 (19.86%)	
Unknown	59 (3.78%)	23 (5.36%)	36 (3.19%)	

Abbreviations: MSM, men who have sex with men; HET, heterosexual transmission; STD, sexually transmitted disease; NNRTIs, non-nucleoside reverse transcriptase inhibitors.

#### **Table 2.** The analysis of annual recovery rate of CD4<sup>+</sup> T cell count using GAMM.

		Total patients		Patients with baseline CD4 <sup>+</sup> T cell counts $\leq$ 300 cells/µL				
		Increase rate (cell/µl)/ye	ar	Increase rate (cell/µl)/year				
Variable	β	95%Cl	P value	β	95%CI	P value		
HET	23.51	16.70 ~ 30.31	<0.001	24.71	17.71 ~ 31.71	<0.001		
MSM	40.21	35.82 ~ 44.61	< 0.001	37.16	31.99 ~ 42.34	< 0.001		
Reference (HET)	-	_	-					
Year* MSM (test for interaction)	16.67	8.27 ~ 25.06	<0.001 <sup>a</sup>	12.37	3.29 ~ 21.44	0.008 <sup>a</sup>		

<sup>a</sup>P value was adjusted by HIV-1 subtype, age at cART initiation, baseline CD4<sup>+</sup> T cell counts at cART initiation, education background, marital status, STD history, baseline BMI and baseline hemoglobin.

Abbreviations: GAMM, generalized additive mixed model; MSM, men who have sex with men; HET, heterosexual transmission.



**Figure 1.** The smooth curve of CD4<sup>+</sup> T cell counts recovery over time after receiving cART between HET and MSM patients. (A) Comparison of the increase rate of CD4<sup>+</sup> T cell counts between HET and MSM group in total patients (interaction P < 0.001, by generalized additive mixed model). (B) Comparison of the increase rate of CD4<sup>+</sup> T cell counts between HET and MSM group in the patients with baseline CD4<sup>+</sup> T cell counts  $\leq$  300 cells/µL (interaction P = 0.008, by generalized additive mixed model).

			Total patients	Patients with baseline CD4 <sup>+</sup> T cell counts $\leq$ 300 cells/µL			
Variable	Classification	Coefficient	95%CI	P value	Coefficient	95%CI	P value
Sexual transmission mode	HET						
	MSM	20.66	2.53 ~ 38.79	0.026	20.46	1.28 ~ 39.63	0.037
CD4 <sup>+</sup> T cell counts at cART initiation	-	0.76	0.70 ~ 0.83	<0.001	0.95	0.85 ~ 1.05	<0.001
Age at cART initiation	-	-1.49	$-2.25 \sim -0.73$	< 0.001	-1.92	$-2.76 \sim -1.08$	<0.001
HIV-1 subtype	CRF_01AE						
	CRF_07BC	12.49	-5.11 ~ 30.09	0.164	20.34	-0.55 ~ 41.22	0.056
	Other subtypes	10.28	$-8.07 \sim 28.63$	0.272	19.35	$-1.58 \sim 40.28$	0.070
Education background	Junior high school or below						
	Senior high school or technical secondary school	3.36	-15.99 ~ 22.70	0.734	5.39	-17.23 ~ 28.01	0.215
	Junior college or above	1.21	$-18.72 \sim 21.14$	0.905	4.01	$-20.22 \sim 28.23$	0.641
Marital status	Married						
	Single, divorced or widowed	8.76	-9.28 ~ 26.81	0.341	13.40	-7.77 ~ 34.57	0.215
STD history	No						
	Yes	-3.28	-22.58 ~ 16.01	0.739	-11.52	$-32.03 \sim 9.00$	0.271
Baseline BMI	Underweight or normal						
	Overweight or obese	22.13	$-3.78 \sim 48.05$	0.094	18.14	-8.51 ~ 44.79	0.182
Baseline hemoglobin	120–160 g/L						
	<120 g/L	-41.19	-69.86 ~ -12.51	0.005	-16.47	-42.94 ~ 10.01	0.223
	>160 g/L	14.04	$-6.86 \sim 34.94$	0.188	-11.60	$-40.02 \sim 16.83$	0.424
	Unknown	-39.89	$-76.65 \sim -3.13$	0.033	-23.72	-64.55 ~ 17.11	0.255

**Table 3.** Factors associated with CD4<sup>+</sup> T cell count recovery during cART identified by GEE.

Abbreviations: GEE, generalized estimating equation; MSM, men who have sex with men; HET, heterosexual transmission; STD, sexually transmitted disease.

CD4<sup>+</sup> T cell counts  $\leq$  300 cells/µL, HET patients similarly showed slower CD4<sup>+</sup> T cell counts increase than MSM patients determined by both GAMM and GEE analyses (Tables 2–3 and Figure 1).

# *Higher risk of immunological non-responders in HET patients*

Despite persistent virological suppression, INRs, presenting incomplete immune reconstitution, were at increased risk of morbidity and mortality of AIDS and non-AIDS events [16-18]. As shown in Table 4, HET patients were more likely to progress to INRs after treatment than MSM patients (adjusted <sup>a</sup>OR = 1.73, 95%CI = 1.28~2.33, *P* < 0.001). The baseline clinical characteristics (HIV-1 subtypes, baseline CD4<sup>+</sup> T cell counts and age) significantly differed between HET and MSM patients. Thus we performed the propensity score matching (PSM) analysis to further control the confounding effect of these variables. There was no difference in these variables between HET and MSM patients after 1:1 matching (Table S1). The HET was still associated with higher risk of INRs (adjusted <sup>a</sup>OR = 2.45, 95%CI =  $1.71 \sim 3.50$ , P < 0.001), consistent with the results of the all patients (Table S2).

## *Lower probabilities of immune recovery after cART initiation in HET patients*

The time from cART initiation to the achievement of conventional IR was compared between HET and MSM patients. The median time of reaching conventional IR was longer in HET patients (42.0 months) than in MSM patients (33.5 months) (Figure 2(A)).

After adjusting for potential confounders, the progression of conventional IR remained slower in HET patients (MSM vs. HET, aHR: 1.37, 95%CI: 1.12-1.67) than in MSM patients (Figure 2(B)). The PSM analysis was also conducted to match the baseline clinical characteristic (Table S1). Similarly, HET patients had lower probabilities of conventional IR than MSM patients (MSM vs HET, aHR: 4.15, 95% CI: 3.29-5.23) (Figure S3A–B).

The probabilities of achieving optimal IR in HET and MSM patients were also assessed. Out of 1557 male sexually transmitted infections, 511 with complete records of CD8<sup>+</sup> T cell counts at all follow-up visits were included. As shown in Figure 2(C), HET patients exhibited longer median time from cART initiation to optimal IR than MSM patients (HET: 38.7 months; MSM: 32.0 months; Log rank P value < 0.001). The multivariate Cox model analysis indicated that the progression of optimal IR in HET patients was relatively slower than in MSM patients (MSM vs HET, aHR: 1.48, 95%CI: 1.04-2.11) (Figure 2 (D)). In the model with PSM, four variables, including HIV-1 subtypes, baseline CD4<sup>+</sup> T and CD8<sup>+</sup> T cell counts, and age, were properly matched. The two groups had no difference in all matching variables (Table S1). After PSM analysis, HET was still associated with slower progression of optimal IR (MSM vs HET, aHR: 1.87, 95%CI: 1.25-2.81) (Figure S3C-D).

#### Subgroup analysis

As the patients with high baseline CD4<sup>+</sup>T cell counts might not develop to INRs or experience failure to conventional IR and optimal IR, those patients

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Variable	Classification	INRs (%)	OR (95%CI)	P value	<sup>a</sup> OR (95%CI)	value
Sexual transmission mode	MSM	19.15% (216/1128)				
	HET	34.97% (150/429)	2.27 (1.77~2.91)	<0.001	1.73 (1.28~2.33)	<0.001
CD4 <sup>+</sup> T cell counts at cART initiation	≤300 cells/μL	44.78% (313/699)				
	>300 cells/µL	6.18% (53/858)	0.08 (0.06~0.11)	<0.001	0.09 (0.07~0.13)	<0.001
Age at cART initiation	≤30	11.92% (75/629)				
	31–50	26.45% (160/605)	2.66 (1.97~3.59)	<0.001	2.08 (1.49~2.91)	< 0.001
	≥51	40.56% (131/323)	5.04 (3.63~7.00)	<0.001	3.14 (2.14~4.60)	< 0.001
HIV-1 subtype	CRF_01AE	26.03% (158/607)				
	CRF_07BC	17.01% (90/529)	0.58 (0.44~0.78)	<0.001	0.58 (0.42~0.81)	0.002
	Other subtypes	28.03% (118/421)	1.11 (0.84~1.46)	0.48	0.83 (0.59~1.15)	0.262
STD history	Yes	21.89% (58/265)				
	No	23.83% (308/1292)	1.12 (0.81~1.54)	0.49		
Marital status	Single	18.44% (168/911)				
	Married	30.65% (198/646)	1.96 (1.54~2.48)	< 0.001		
Education background	Junior high school or below	30.85% (186/603)				
	Senior high school or technical secondary school	22.65% (94/415)	0.66 (0.49~0.88)	0.004		
	Junior college or above	15.96% (86/539)	0.43 (0.32~0.57)	< 0.001		
Baseline BMI	Underweight or normal	24.55% (328/1136)				
	Overweight or obese	17.19% (38/221)	0.64 (0.44~0.93)	0.018		
Baseline hemoglobin	120-160g/L	22.75% (225/1121)				
	<120g/L	55.17% (48/87)	4.18 (2.68~6.52)	< 0.001		
	>160g/L	15.52% (45/290)	0.62 (0.44~0.88)	0.008		
	Unknown	30.51% (18/59)	1.49 (0.84~2.64)	0.171		

Note: <sup>a</sup>OR and <sup>a</sup>P value indicated the adjusted OR value and adjusted P value calculated by multivariate logistic regression analysis.

Abbreviations: MSM, men who have sex with men; HET, heterosexual transmission; INRs, immunological non-responders; STD, sexually transmitted disease.

might introduce biases in our results. We conducted a subgroup analysis to control the biases. Currently, there is no consensus on the definition of INRs. The failure to meet the prescribed CD4<sup>+</sup> T cell count thresholds of 300, 350 and 500 cells/µL were the common definitions of INRs [16]. In evaluating the risk of INRs and the probability of reaching IR, we consequently stratified the patients by the baseline CD4<sup>+</sup> T cell counts at the cutoff of 300, 350 and 500 cells/ µL respectively. HET patients had higher risk of INRs and lower probability of conventional IR than MSM patients among patients with lower baseline  $CD4^+$  T cell counts ( $\leq 300$  cells/ $\mu$ L,  $\leq 350$  cells/ $\mu$ L, and  $\leq$ 500 cells/µL) (Tables S3 and S4). However, no significant difference in risk of INRs and probability of conventional IR was observed between HET and MSM patients among patients with higher baseline  $CD4^+$  T cell counts (>300 cells/µL, > 350 cells/µL, and >500 cells/µL) after adjusting other confounding factors (Tables S3 and S4). Patients were divided into two subgroups according to the baseline CD4<sup>+</sup> T cell counts and baseline CD4/CD8 ratio in evaluating the probability of reaching optimal IR. In both two subgroups, HET showed the lower probability of achieving optimal IR (Table S5). In summary, these data suggested that for patients with lower baseline CD4<sup>+</sup> T cell counts, HET might have unfavourable immune reconstitution efficacy than MSM patients.

#### Sensitivity analysis

The baseline plasma viral load at cART initiation was an important indicator of immune reconstitution. We continued to analyze the impact of sexual transmission modes on immune reconstitution in 782 patients with baseline viral load to control the influence of this variable.

The trend of CD4<sup>+</sup> T cell counts change after receiving cART in total patients was shown in Table S6 and Figure S4. The CD4<sup>+</sup> T cell counts increased continuously in both HET and MSM patients (HET,  $\beta$ : 23.91 (cell/µl)/year, 95% CI: 13.72~34.10; MSM, β: 38.08 (cell/μl)/year, 95% CI: 31.02~45.13). However, the increasing rate in HET patients appeared much slower than in MSM patients (interaction P = 0.029). GEE analysis also support the result above that HET was related to poorer CD4<sup>+</sup> T cell counts recovery during the treatment (P = 0.009) (Table S7). In patients with lower baseline CD4<sup>+</sup> T cell counts, MSM had higher recovery rate of CD4<sup>+</sup> T cell counts than HET patients two years after cART (interaction P = 0.031) (Table S6 and Figure S4).

Predictors associated with the risk of INRs were listed in Table S8. Besides lower baseline  $CD4^+$  T cell counts, older age, and higher baseline viral load, HET was significantly associated with higher risk of INRs than MSM (adjusted <sup>a</sup>OR = 1.92, 95%CI =  $1.27 \sim 2.89$ , P = 0.002). The progression of reaching conventional IR and optimal IR between HET and MSM patients were shown in Figure S5. In the multivariable analysis, HET indicated slower progression of conventional IR and optimal IR than MSM (conventional IR, aHR = 1.46, 95%CI =  $1.01 \sim 1.95$ , P = 0.010; optimal IR, aHR = 2.18, 95%CI =  $1.18 \sim 4.01$ , P =0.012). The PSM analysis was then performed to further control the biases from other confounding variables. These variables were factors that could



**Figure 2.** The impact of sexual transmission modes on conventional and optimal immune recovery (IR). (A) The cumulative probability of achieving conventional IR (two successive CD4<sup>+</sup> T cell counts more than 500 cells/µl). (B) The factors associated with conventional IR identified by multivariate Cox regression analysis. (C) The cumulative probability of achieving optimal IR (CD4  $\geq$  500 cells/µL and CD4/CD8 ratio  $\geq$  0.8 concurrently). (D) The factors associated with optimal IR identified by multivariate Cox regression analysis.

affect immune reconstitution efficacy besides sexual transmission modes (Table S8, Figure S5). Similar results were found after performing PSM analysis (Tables S9–S10 and Figure S6).

The subgroup analyses were also carried out in patients with baseline viral load. The association between HET and worse immune reconstitution efficacy was observed in patients with lower baseline  $CD4^+$  T cell counts (Tables S11–S13). Furthermore, we have made another subgroup analyses based on baseline viral load at the threshold of  $10^5$  copies/ mL (Tables S14–S16). The results did not significantly change after further hierarchical analyses of baseline viral load when comparing the risk of INRs and the progression of conventional IR between HET and MSM patients. Comparatively, the progression of optimal IR between the two groups from cART initiation to optimal IR was significantly delayed in only HET patients with lower baseline viral load.

#### Discussion

In this study, we comprehensively analyzed the impact of sexual transmission modes on immune reconstitution dynamics during cART among 1557 male patients who have achieved virological suppression. Our study firstly revealed that immune reconstitution efficacy after receiving cART in male HET patients was poorer than in MSM patients.

One possible explanation for our results might be that HET men were more likely to be diagnosed with HIV infection later than MSM in China. MSM have more opportunities to get HIV testing than HET men due to various encouragement strategies among HIV high-risk groups. Besides, HET men also tend to perceive themselves, or be perceived by healthcare providers, to have low risk of infecting HIV. The overrepresentation of HIV late presentation in HET men has been reported in numerous studies in China [19,20]. A recent study suggested that despite the effectiveness of cART in terms of viral suppression, HIV late presenters did not experience complete CD4<sup>+</sup>T cell counts recovery and CD4/CD8 normalization [21], which could partly explain our findings. Another is that the extent of injury to the immune system prior to cART initiation caused by the fitness of transmitted founder (TF) viruses might be more severe in male HET patients than MSM patients. The HET transmission from women to men imposed higher selection pressure on TF virus than MSM transmission [22,23], leading to high fitness variants emerging for successful dissemination [24,25]. James et al. compared the impact of TF virus fitness on disease progression between HET and MSM at a large population level, namely that HET patients had greater CD4<sup>+</sup> T cell count reduction in early infection than MSM patients [2]. In this study, we have estimated CD4<sup>+</sup> T cell counts at seroconversion from measurements at diagnosis by a CD4 depletion model to reflect the pathogenicity of TF strains [2,26]. We found that HET patients had significantly lower estimated CD4<sup>+</sup> T cell counts at seroconversion than MSM patients (Figure S7). Thus, our results might be due in part to the different initial immune damage caused by TF viruses between male HET and MSM patients.

In addition to the sexual transmission modes, other variables, including baseline CD4 <sup>+</sup>T cell counts, age, baseline viral load, HIV-1 subtypes and baseline CD8<sup>+</sup>T cell counts, could also affect the immune reconstitution in our study. It is well established that baseline CD4<sup>+</sup>T cell count was the strongest predictor of virological and immunological response after initiating cART [27,28]. In our subgroup analyses, we found that HET correlated with unfavourable immune reconstitution efficacy in only patients with lower baseline CD4<sup>+</sup> T cell counts. These results suggested that the influence of baseline CD4<sup>+</sup> T cell counts on immune reconstitution might be greater than sexual transmission modes. Age and baseline viral load were two other variables extensively studied in cART efficacy. Younger patients tended to have less impairment of immune system, thereby being more likely to have better treatment outcomes [29]. The roles of baseline viral load in immune reconstruction have been previously investigated in many retrospective studies but yielded contradictory results [30-32]. We found the association of high baseline viral load with increased risk of INRs, which supported the adverse impact of high baseline viral load on the immune reconstruction. Furthermore, a follow-up study in 21 HIV infected subjects followed to viral suppression and observed for 52 weeks of sustained suppression also showed that individuals

with lower baseline viral load could achieve greater level of innate effector cell reconstitution [33]. HIV genetic diversity could contribute to variations in virus pathogenicity. Our results discovered that patients with CRF\_01AE had higher risk of INRs than patients with CRF\_07BC. Other studies based on the Asian population also verified the higher pathogenicity of CRF\_01AE strains than CRF\_07BC strains, which caused faster disease progression, higher mortality and worse immune reconstruction ability [10,34,35]. A growing body of evidence has proved the value of the CD4:CD8 ratio as a novel immune parameter combined with CD4 + T cell counts to assess immune restoration [14,15,36]. Concurrent achieving  $CD4 \ge 500$  cells/µL and CD4:CD8 ratio  $\ge 0.8$  was thought as the optimal immune recovery. In both our analyses and that by Lee et al, low pre-treatment CD8<sup>+</sup> T cell count was an important predictor of optimal immune recovery [14], which implied the significance of continuously monitoring the trajectory of CD8<sup>+</sup> T cell counts after cART.

Adherence to cART was widely acknowledged to be critical in improving treatment efficacy. Male HET patients might be particularly vulnerable to depressive symptoms and feelings of guilt and shame compared to MSM patients, resulting in poor adherence and treatment outcomes [37-39]. In this study, we only included the male patients who maintained virological suppression at all follow-up visits. It could somewhat control for the confounding effect of adherence. Furthermore, we excluded the female HET patients in our study, as viruses transmitted from men to women were characterized by lower predicted fitness than from women to men [23]. The sex difference in immune responses after HIV-1 infection might also introduce biases when comparing the efficacy of immune reconstitution between HET and MSM [40,41]. We found a significant difference in baseline clinical characteristics at cART initiation including CD4<sup>+</sup> T cell counts, age, HIV-1 subtypes and CD8<sup>+</sup> T cell counts. To avoid potential biases caused by these factors, the analyses were performed using the multivariate model and propensity score matching. Our results that sexual transmission modes could affect the effectiveness of immune reconstitution remained salient.

Several limitations should be noted in this study. First, we only found HET associated with poorer immune reconstitution efficacy without elucidating the biological mechanisms underlying the observations. Although we found lower estimated CD4<sup>+</sup> T cell counts at seroconversion in HET patients than in MSM patients, it was still necessary to further explore experimentally whether the different TF virus' fitness between HET and MSM could influence cART efficacy. Second, the lack of remaining viral load data has to some extent affected our exploration of the relationship between viral load and immune reconstitution, although the sensitivity analysis also supported our conclusion. Further studies including this variable are required to expand these findings. Finally, the sexual transmission modes were self-reported by the participants. Since MSM patients might conceal their gender identity due to social stigma and discrimination, there were inevitable limitations in terms of information accuracy. We adopted the face to face interview approach to acquire as much real information as possible.

#### Conclusion

In summary, HET was an independent risk factor associated with slower CD4<sup>+</sup> T cell counts recovery ability, higher risk of incomplete immune reconstitution, and longer time required to achieve immune recovery. Our study highlighted the importance of early initiation of cART after HIV-1 diagnosis and enhanced posttreatment clinical monitoring for male HET patients.

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#### **Disclosure statement**

No potential conflict of interest was reported by the author(s).

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#### Data availability statement

All data in this study are available upon request by contact with the corresponding author.

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